IAP6 Rec'd PCT/PTO 21 FEB 2006

PTO-1390 (Rev. 02-2005) Approved for use through 3/31/2007. OMB 0651-0021

Express Mail Label Number ED 794108365 US

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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TRANSMITTAL LETTER TO THE UNITED STATES ATTORNEY'S DOCKET NUMBER 2003CH007 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/IB2004/002689 13 August 2004 21 August 2003 TITLE OF INVENTION Multifunctional Textile-Pretreating Agent APPLICANT(S) FOR DO/EO/US Manfred JUNGEN Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. X Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. X An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. X A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). See Attachment 1 20. X Other items or information:

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION	NO. (if known, see 37 GFB 1.5)	INTERNATIONAL AF	PLICATION NO.	ATTORNEY'S DOC	KET NUMBER
10/569335 PCT/IB2004/002689			2003CH007		
The following fees have been submitted				CALCULATIONS	PTO USE ONLY
21. X Basic national fee\$300			\$ 300.00		
22. X Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)				\$ 200.00	
23. X Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority				400.00 \$	
TOTAL OF 21, 22 and 23 =				\$ 900.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
	thereof (round u	additional 50 or fraction ip to a whole number)	RATE		
23 - 100 =	-77 _{/50 =}		x \$250	\$ 0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).				\$ 0.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	27 - 20 =	7	× \$50	\$ 350.00	
Independent claims	2 -3=	0	x \$200	\$ 0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360				\$ 0.00	· · · · · · · · · · · · · · · · · · ·
TOTAL OF ABOVE CALCULATIONS =				\$ 1,250.00	
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.				0.00	
SUBTOTAL =				\$ 1,250.00	1
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$ 0.00	
TOTAL NATIONAL FEE =				\$ 1,250.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$ 40.00	
TOTAL FEES ENCLOSED =				\$ 940.00	
				Amount to be refunded:	\$
				Amount to be charged:	\$
a. A check in the amount of \$ to cover the above fees is enclosed.					
b. X Please cha	rge my Deposit Account No(copy of this sheet is enclosed.			over the above fees.	
c. X The Commi	ssioner is hereby authorized to		hich may be required,	or credit any overpaymen	t to Deposit.
d. Fees are to	. <u>03-2060</u> . A duplicate copbe charged to a credit card. W	ARNING: Information on this		blic. Credit card informat	ion should not
	d on this form. Provide credit on this form.			e (37 CFR 1.137(a) or (b))	must be filed
and granted to resto	ore the International Application				
SEND ALL CORRESPONDENCE TO: Anthony A. Bisulca				J. Bisulea	
Clariant Corporation					
Intellectual Property I am Department Anthony A.				Bisulca	
4000 Monroe R	oad		NAME		
Charlotte, NC	28205 CUSTOM	ER NO. 25,255	40,913 REGISTRATI	ON NUMBER	·

Addendum AP20 Rec UPUTPIO 21 FEB 2006

Attachment 1

- · Express Mail Label No. ED 794108365 US;
- · Acknowledgement of Receipt of Documents Filed With the IB as RO (1 page)
- · Notification of the Recording of a Change (1 page)
- · Copy of International Search Report (3 pages)
- · Copy of Notification of Transmittal of International Preliminary Report on Patentability (6 pages)
- · Written Opinion of the International Search Authority (5 pages)
- Transmittal Letter Notification of Replacement Sheets on Pages 13, 15-23 and Figures 1-3 (14 pages)
- · Figures 1-3 (3 pages)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Express Mail Label Number ED 794108365 US

Date of Mailing: February 21, 2006

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that on the date indicated above this International application and the documents referred to as enclosed therein, of:

- CERTIFICATION UNDER 37 CFR 1.10 (Express Mail) (1 page)
- TRANSMITTAL LETTER TO THE DO/EO/US (3 pages) w/ duplicate (6 pages)
- Executed Assignment with Recordation Transmittal (2 pages)
- Acknowledgement of Receipt of Documents Filed With the IB as RO (1 page)
- Notification of the Recording of a Change (1 page)
- Copy of International Search Report (3 pages)
- Copy of Notification of Transmittal of International Preliminary Report on Patentability (6 pages)
- Written Opinion of the International Search Authority (5 pages)
- Transmittal Letter Notification of Replacement Sheets on Pages 13, 15-23 and Figures 1-3 (14 pages)
- Preliminary Amendment (10 pages)
- Copy of the English Translation of International Application (23 PAGES SPECIFICATION, claims & Abstract); (claims 17 in number)
- Figures 1-3 (3 pages)
- Executed Declaration (2 pages)
- Postage pre-paid Postcard Receipt

Inventor: Manfred JUNGEN

For: Multifunctional Textile-Pretreating Agent

International Application No.: PCT/IB2004/002689
International Filing Date: 13 August 2004
Priority Filing Date: 21 August 2003

is being deposited with the United States Postal Service as "Post Office to Addressee" Express Mail addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, Mail Stop:, in accordance with 37 CFR 1.10.

Vicki L. Saro

LAP20 HOG Grown U 21 FEB 2006

Express Mail Label Number ED 794108365 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Manfred JUNGEN

Attorney Docket: 2003CH007

Serial No.:

to be assigned

Filed:

February 21, 2006

For:

Multifunctional Textile-Pretreating Agent

<u>Transmittal Letter</u> Notification of Replacement Sheets

Mail Stop: Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Preliminary to the examination of the above-identified application, the attached replacement sheets were filed as formal corrections on pages 13, 15-23 and figures 1-3. These corrections were made shortly after the filing of the PCT Application.

Applicant respectfully requests submission of this page before examination of the application and before entry of the Preliminary Amendment.

Respectfully submitted,

Anthony A. Bisulca Attorney for Applicant Registration No. 40,913

(CUSTOMER NUMBER 25,255)

Clariant Corporation Industrial Property Department 4000 Monroe Road Charlotte, NC 28205 Phone 704 331-7151 Fax 704 331-7707